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# Polyene $-\mathrm{ML}_{2}$ and $-\mathrm{ML}_{4}$ Complexes. Conformational Preferences and Barriers of Rotation 

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#### Abstract

Rotational barriers in acyclic and cyclic polyene $-\mathrm{ML}_{2}$ and $-\mathrm{ML}_{4}$ complexes are analyzed by subdividing the molecules into polyene and $\mathrm{ML}_{n}$ fragments. In $\mathrm{C}_{n} \mathrm{H}_{n}-\mathrm{ML}_{2}$ the inherently small rotational barrier may be strongly perturbed by substitution patterns which create an electron density asymmetry. Slipping and geometrical deformations of the coordinated polyene may also occur. In acyclic polyene- $\mathrm{ML}_{2}$ complexes generally large barriers are to be expected, with well-defined equilibrium conformations. The analysis of $\mathrm{ML}_{4}$ complexes follows similar lines, but is complicated by a geometrical degree of freedom which relates $C_{4 v}$ and $C_{2 v} \mathrm{ML}_{4}$ fragment geometries.


In several preceding papers we have analyzed the bonding, conformational preferences, and rotational barriers in poly-

[^0]ene- $\mathrm{ML}_{3}{ }^{1}$ and ethylene- $\mathrm{ML}_{2-5}$ transition metal complexes. ${ }^{2}$ The barrier to internal rotation about the metal-ligand coordination axis is a most direct probe of the bonding in these compounds. In this paper we study the important class of
polyene and cyclopolyene complexes with $C_{2 v} \mathrm{ML}_{2}$ and $C_{2 v}$ and $C_{4 v} \mathrm{ML}_{4}$ metal fragments.






## $\mathbf{M L}_{2}$ and $\mathbf{M L}_{4}$ Fragments

One convenient way to approach the barrier problem is to dissect the molecule conceptually into a polyene and an $\mathrm{ML}_{n}$ fragment, and then reconstruct it in those geometries which correspond to the end points of some rotational process. In the reassembly, accomplished with the language of perturbation theory, one looks for differentials in interaction between the extreme conformations. Where symmetry arguments are insufficient the analysis is aided by extended Hückel calculations, described in the Appendix. Our study relies heavily on the accompanying discussion of olefin rotational barriers. ${ }^{2}$

The frontier orbitals of $\mathrm{ML}_{n}$ fragments have been discussed in detail elsewhere. ${ }^{1-3}$ The valence orbitals of three of them, a $C_{2 v} \mathrm{Ni}(\mathrm{CO})_{2}$ and $C_{2 v}$ and $C_{4 v} \mathrm{Fe}(\mathrm{CO})_{4}$, are shown in Figure 1.

The $\mathrm{a}_{1}$ orbitals of each fragment figure in $\sigma$ bonding, but being cylindrically symmetrical will not create a rotational barrier. The $a_{2}$ orbitals may play a special role in those cases where $\pi$ bonding is not decisive. But it is really the $\pi$-type orbitals of these fragments, $l b_{1}$ and $b_{2}$, which will determine the major conformational preferences. In the two $C_{2 v}$ fragments the $1 b_{1}$ and $b_{2}$ orbitals are strongly split in energy. They also differ in hybridization, the $\mathrm{b}_{2} x z$ orbital having a significantly greater extent in space away from its two (equatorial) carbonyls and toward the incoming polyene ligand. A higher lying orbital which we have labeled $2 \mathrm{~b}_{1}$ in the $\mathrm{Ni}(\mathrm{CO})_{2}$ fragment is shown in Figure 1. This orbital is important when the $\mathrm{M}(\mathrm{CO})_{2}$ unit is pyramidalized in polyene- $\mathrm{M}(\mathrm{CO})_{2}$ compounds. ${ }^{3 \mathrm{~d}}$ However, $2 \mathrm{~b}_{\text {I }}$ does not play a significant role in determining rotational barriers because of its relatively high energy and poor overlap compared to $l b_{1}$ and $b_{2} .{ }^{2}$ We have neglected its presence in the following discussions. In the $C_{4 v}$ fragment there is no distinction between $l b_{1}$ and $b_{2}$, both forming a degenerate e orbital. These points have been discussed in detail elsewhere ${ }^{3}$-in those fragments where a difference between $b_{1}$ and $b_{2}$ exists it is crucial in fixing the orientation of a complexed ethylene. So it will be for a polyene as well.

To obtain a large rotational barrier in a polyene- $\mathrm{ML}_{n}$ complex requires an exploitation of the $b_{1}-b_{2}$ differential. Both by the energy and overlap criteria of perturbation theory the $b_{2}$ interacts better. There will be a significant barrier when the polyene possesses a relatively low-lying unfilled orbital, nondegenerate and of $\pi$ pseudosymmetry so that it can interact with the $b_{2}$. If the orbital is indeed pure $\pi$, as it is in the case of ethylene, then the preferred orientation is fixed, as in 1 . But

if the LUMO of the polyene has more angular nodes, then in principle more orientations are possible, 2a-c. A distinction among these can be obtained if the polyene LUMO electronic density is different in regions $\mathrm{A}, \mathrm{B}$, and C in $\mathbf{2}$. In a general way



$$
{ }_{{ }_{\mathrm{o}} \mathrm{C}}^{\mathrm{C}} \ldots \mathrm{Ni}
$$



Figure 1. Frontier orbitals of some metal fragments. The energy scale markings are in $\mathrm{eV}^{\mathrm{V}}$.

the $\mathrm{b}_{2} \mathrm{ML}_{n}$ fragment orbital acts as a template-it requires a $\pi$ orbital, and it requires electron density in the plane of $\mathrm{ML}_{2}$. The density requirement is shown schematically in 3 . Here the

cross hatching does not stand for the orbital phase, but for the region of greater electron density. That this greater electron density is in an orbital that matches in phase the $b_{2}$ is understood.

The prescription for a large barrier may seem complicated, but will prove to be simple in practice when we examine some specific cases. Let us begin with some cyclic polyenes.

## Cyclic Polyene-ML2 Complexes

The class of molecules under consideration consists of cyclic $\mathrm{C}_{n} \mathrm{H}_{n}-\mathrm{ML}_{2}$, where the polyene rings are regular polygons and the projection of the metal onto the polyene lies in the center. From our general knowledge of molecular potentials one would anyway not expect a large barrier when a twofold rotor is pitted against a three-, four-, five-, six- . . fold one. The electronic argument would also say that these compounds can have no substantial rotational barriers. This is a consequence of the partitioning of the valence orbitals of $\mathrm{C}_{n} \mathrm{H}_{n}$ into e sets; when


However, an isoelectronic compound, 6, adopts a slightly boat-shaped conformation. ${ }^{4}$ The dihedral angles between the



7

$\mathrm{C}_{2}-\mathrm{C}_{1}-\mathrm{C}_{6}$ and $\mathrm{C}_{3}-\mathrm{C}_{4}-\mathrm{C}_{5}$ planes and $\mathrm{C}_{2}-\mathrm{C}_{3}-\mathrm{C}_{5}-\mathrm{C}_{6}$ were 7.0 and $4.9^{\circ}$, respectively. We feel that this deformation may be traced to the HOMO in the molecule, the antibonding combination of $1 b_{1}$ with the benzene $\pi$ orbital of $b_{1}$ symmetry. Moving carbons 1 and 4 out of the plane of the benzene ring reduces the antibonding. If the molecule adopts the other $\mathrm{ML}_{2}$ conformation, then one would expect the distortion shown in 7. The interaction of $1 b_{1}$ is now with the other member of the benzene $\pi$ set. This does indeed happen for benzeneruthenium cyclooctadiene ${ }^{5}$ (the two opposite $\pi$ bonds of the cyclooctadiene act as the $\mathrm{L}_{2}$ unit). The angle between the planes formed from $C_{1}-C_{2}-C_{3}-C_{4}$ and $C_{4}-C_{5}-C_{6}-C_{1}$ is $5.2^{\circ} .{ }^{5}$ Further supporting evidence comes from the fact that the benzene ring in 8 is completely planar. ${ }^{6}$ The directionality of $\mathrm{ML}_{2}$ orbitals is lost in the MCp fragment. Furthermore, ( $\pi$-toluene)cobalt bis(hexafluorophenyl), which contains one less electron than 6, also shows a planar benzene ring, ${ }^{7}$ which is consistent with the idea that the HOMO is responsible for the driving force behind the distortions. An important point is that these distortions, while understandable, are small and occur in both conformations. Therefore, it is still predicted that there will only be a tiny rotation barrier in benzene- $\mathrm{ML}_{2}$ complexes.

The small barrier in benzene- $\mathrm{Fe}(\mathrm{CO})_{2}$ can be turned into a gigantic one by splitting the degeneracy of the $b_{1}$ and $b_{2} \pi$ orbitals (see Figure 2). For example, consider the replacement of the two para carbons by less electronegative boron atoms. Such a compound has been prepared and shown to have the conformation given by 9 rather than $10 .{ }^{8}$ We calculate that 9


9


10
is $26 \mathrm{kcal} / \mathrm{mol}$ more stable than 10 . The reason for this is simply that the substitution of boron atoms for carbons in the polyene causes the energy of the $b_{1}$ orbital (in Figure 2) to rise. ${ }^{1,3 c, 9}$ Therefore, the interaction between it and $b_{2}$ of the $\mathrm{ML}_{2}$ unit in 9 is much greater than that between $\mathrm{b}_{2}$ and the unperturbed $b_{2} \pi$ orbitals in 10.

From the topology of 3 it may be inferred that one will achieve the largest barrier in benzene- $\mathrm{Fe}(\mathrm{CO})_{2}$ complexes or isoelectronic analogues with the substitution pattern in 11. The


11


120


12b
electronegativity of the two para carbons must be perturbed relative to the others. This analysis can be carried one step further by predicting that, with two para acceptor substituents on the benzene ring, the energy of the $b_{1} \pi$ orbital will be lowered; consequently $\mathrm{ML}_{2} \mathrm{~b}_{2}$ will interact preferentially with the $b_{2} \pi$ orbital giving conformation 12a as the most stable one. Putting two para donor functions on benzene raises the energy
of the $b_{1} \pi$ orbital so that $\mathbf{1 2 b}$ should be the most stable orientation. Likewise, the substitution of electronegative nitrogen atoms for $\mathrm{C}-\mathrm{H}$ groups in the sites marked by triangles in 11 will lead to the most stable conformation of 1,4 -diazine$\mathrm{Fe}(\mathrm{CO})_{2}$, which should be similar to structure 10.

The rotational barrier in cyclopentadienyl- $\mathrm{Co}(\mathrm{CO})_{2}$ is calculated to be very small- $0.001 \mathrm{kcal} / \mathrm{mol}$. This is consistent with the variety of conformations found in analogues and derivatives of this complex. ${ }^{10}$ We have discussed elsewhere the strategy for creating by substitution a large barrier in these and the related $\mathrm{ML}_{3}$ compounds. ${ }^{\text {ic }}$

The 18 -electron cyclobutadiene- $\mathrm{ML}_{2}$ complex is predicted to be a rather unstable molecule, with an extremely small barrier to internal rotation when all carbon-carbon bond lengths are kept equal. An interaction diagram is shown in Figure 3 for geometry 13. $\mathrm{Ni}(\mathrm{CO})_{2} 1 b_{1}$ and $b_{2}$ interact in a


13


14
bonding way with the cyclobutadiene nonbonding $\pi$ level. However, there are still two electrons to be placed, and these enter the antibonding combination of $\mathrm{ML}_{2} \mathrm{l} \mathrm{b}_{1}$ and one component of the e set. This level is at high energy and is responsible for the relative instability of the complex. Upon rotation to the alternative conformation $\mathbf{1 4}$, the $1 b_{1}$ and $b_{2}$ orbitals still find a linear combination of the e set to interact with. The rotational barrier should be small.

In a complex of cyclobutadiene with $\mathrm{Ni}(\mathrm{CO})_{2}$ or some isoelectronic or isolobal analogue (and the first such has just been synthesized ${ }^{\text {l }}$ ) we think that one of two things will happen. The $\mathrm{ML}_{2}$ fragment may slip, so that it is complexed in $\eta^{2}$ fashion, leaving a $\pi$ bond uncomplexed, 15. Alternatively, if the $\mathrm{Ni}(\mathrm{CO})_{2}$ remains $\eta^{4}$, the cyclobutadiene ring will elongate in the manner shown in 16.


15


16
The rationale behind this second deformation is analogous to that found for cyclobutadiene itself. ${ }^{12}$ Starting from 13 the two $b_{1}$ orbitals will be stabilized upon distortion of cyclobutadiene from a square to a rectangle in the sense defined by 16. The $b_{2}$ orbital, however, will go up in energy. The two $b_{1}$ orbitals are each stabilized by approximately the same amount of energy that the $b_{2}$ rises, so that the net effect is a rectangular distortion. Although extended Hückel calculations are not expected to be reliable for the calculation of bond lengths, we find that in $16 \mathrm{C}_{1}-\mathrm{C}_{2}=1.49 \AA$ and $\mathrm{C}_{2}-\mathrm{C}_{3}=1.34 \AA$. Upon rotation to 14 we do not find that distortion to a diamondshaped structure results in any stabilization. This molecularcam effect ${ }^{13}$ sets up a barrier in cyclobutadiene- $\mathrm{Ni}(\mathrm{CO})_{2}$. We calculate 16 to be more stable than 15 (CC optimized at 1.41 $\AA$, the ring a perfect square) by $3.5 \mathrm{kcal} / \mathrm{mol}$. There is one piece of indirect evidence that supports our line of argument. If two electrons are removed from the HOMO in 13, then the driving force for the rectangular distortion is lost and there will be no barrier of rotation. A structure ${ }^{14}$ of a derivative of cyclobutadiene $-\mathrm{Ni}(\mathrm{Br})_{2}$, which has two less valence electrons, shows that all carbon-carbon bond lengths are approximately equal. Furthermore, the conformation observed for this compound is intermediate between those shown in 13 and 14.
A moderately large barrier of rotation in cyclobutadienyl$\mathrm{Ni}(\mathrm{Br})_{2}$ complexes is expected when the cyclobutadienyl carbons are perturbed in the sense of $\mathbf{1 7}$. Here the electro-


Figure 3. Partial interaction diagram for cyclobutadiene- $\mathrm{Ni}(\mathrm{CO})_{2}$. Only the $M L_{2} b_{1}$ and $b_{2}$ orbitals and the nonbonding e orbital of cyclobutadiene are shown.

17

18

19
negativity of the carbon atoms given by the triangles is opposite to that of the squares. The perturbation in 17 will split the energy of the formerly degenerate e set ${ }^{15}$ so that the interaction of $b_{2}$ will be more favorable for one member than the other. Some examples of this would be complexes of push-pull cyclobutadienes, 18, ${ }^{16}$ or the borazetidine, 19. ${ }^{17}$ Determining the most stable conformation of these molecules is identical with the procedure outlined previously for substituted benzene$\mathrm{Fe}(\mathrm{CO})_{2}$ complexes, and will not be repeated here. Notice also that the charge distribution set by the perturbation in 17 conforms to the topological requirements for a large barrier as given in the introduction by 3 .
$\eta^{3}$-Cyclopropenyl- $\mathrm{Ni}(\mathrm{CO})_{2}{ }^{+}$has a tiny barrier to internal rotation, $0.03 \mathrm{kcal} / \mathrm{mol}$. The $\mathrm{ML}_{2} \mathrm{~b}_{2}$ orbital can interact with either one of the degenerate $\pi$ set of cyclopropenium, 20 and 21, or a combination of these in any conformation. Our cal-

culations, however, show that the $\mathrm{Ni}(\mathrm{CO})_{2}$ unit prefers to slip off the ring to a dihapto ( $\eta^{2}$ ) position, 22, by $9 \mathrm{kcal} / \mathrm{mol} .{ }^{18}$ This distortion has also been noted by Dobosh and Lillya. ${ }^{19}$ An X-ray structure ${ }^{20}$ on the related triphenylcyclopropenyl$\mathrm{Pt}\left(\mathrm{PPh}_{3}\right)_{2}$ cation shows that the slipping to $\eta^{2}$ does indeed occur. This is due principally to two reasons. Firstly, the overlap of $b_{2}$ with 21 increases drastically with the distortion. The overlap of $1 b_{1}$ with 20, however, drops to zero. Counterbalancing this latter loss is that distortion to $\eta^{2}$ decreases repulsions of $a_{2}$ and $2 a_{1}$ with the high-lying set of Walsh orbitals ${ }^{21}$ of cyclopropenium cation and increases overlap of $3 a_{1}$ with the symmetric member of this set.

The ${ }^{1} \mathrm{H}$ NMR of tris(tert-butyl)cyclopropenyl- $\mathrm{Ni}(\mathrm{CO}) \mathrm{Br}$, which is isoelectronic to 22, shows all methyl protons to be equivalent at room temperature. ${ }^{22}$ This implies that either this compound has an $\eta^{3}$ geometry with easy rotation around the cyclopropenium-nickel bond or that there is a facile fluxional motion of the $\mathrm{Ni}(\mathrm{CO}) \mathrm{Br}$ unit around the periphery of the ring. We think that the latter possibility is more likely based on EHT














Figure 4. The valence orbitals and approximate energies of acyclic polyenes are presented on the left side of this diagram. The two important orbitals (top view) of the $\mathrm{ML}_{2}$ unit are shown on the right side.
calculations of this compound. ${ }^{19,23}$ Although a complete theoretical discussion of the fluxionality will be reported elsewhere, ${ }^{23}$ a few salient features are worth noting. The transition state for the interchange of $\eta^{2}$ structures is not found at the $\eta^{3}$ geometry. Since there is essentially free rotation at the $\eta^{3}$ geometry this is tantamount to having a transition state with three equivalent exit valleys. Such surfaces have been analyzed in some detail by McIver and Stanton. ${ }^{24}$ This type of transition state is disallowed on symmetry grounds and the real one corresponds to a less symmetrical portion of the potential surface. This is found to be the case for the cyclopropenyl$\mathrm{Ni}(\mathrm{CO})_{2}$ cation. The interconversion of $\eta^{2}$ structures involves a transition state, 23, which is $0.8 \mathrm{kcal} / \mathrm{mol}$ more stable than


23
the $\eta^{3}$ geometry ( $7.9 \mathrm{kcal} /$ mol less stable than 22). The molecule in the transition state has $C_{s}$ symmetry, with one cyclopropenyl carbon atom in the mirror plane which also contains the $\mathrm{Ni}(\mathrm{CO})_{2}$ unit. The projection of the nickel atom onto the plane of the polyene lies $0.13 \AA$ from the center of the cyclopropenyl ring.

## Acyclic Polyene-ML $\mathbf{M L}_{2}$ Complexes

In the case of ethylene- $\mathrm{ML}_{2}$ a clear choice was made between conformations 24a and 24b on the basis of the relative donor ability of the $\mathrm{ML}_{2}$ fragment $\mathrm{b}_{2}$ and $1 \mathrm{~b}_{1}$ orbitals. ${ }^{2} \mathrm{~b}_{2}$ was at higher energy and better prepared to overlap with ethylene $\pi^{*}$. Accordingly conformation 24a was much preferred. The

analysis of the conformations of acyclic polyene- $\mathrm{ML}_{2}$ complexes follows similar lines.

Two extreme conformations are defined, one with the $\mathrm{ML}_{2}$ group perpendicular to the vertical mirror plane of the polyene, to be called "perpendicular", 25a, the other "in-plane", with $\mathrm{ML}_{2}$ in that mirror plane, $\mathbf{2 5 b}$. The observed structures show


25a

$25 b$
some tilt of the ligand relative to the $\mathrm{ML}_{2}$ plane, as well as some slipping of the two parts of the molecule. Accordingly it is important to optimize these geometrical parameters- $r$, the distance from the projection of the metal atom onto the plane of the polyene to the back carbon or CC bond center, $\xi$, the dihedral angle between the planes of the polyene and the $\mathrm{ML}_{2}$ unit-as well as $\theta$, the angle between the two ligands. This was done, with results described in the Appendix. The nodal properties and relative energies of the acyclic polyene $\pi$ levels are crucial to the analysis. These are shown in Figure 4, labeled as symmetric or antisymmetric with respect to the vertical mirror plane, and within each symmetry type labeled serially


Figure 5. Interaction diagram for pentadienyl- $\mathrm{Fe}(\mathrm{CO})_{2}$ anion in two possible conformations. Only the orbitals which determine the conformation are shown in this figure.
in order of ascending energy. This numbering scheme will be used in the subsequent discussion.

For a 16 -electron $\pi$-allyl- $\mathrm{ML}_{2}$ complex $1 \pi_{\mathrm{a}}$ is the HOMO for the allyl fragment and $\mathrm{b}_{2}$ is formally empty in the $\mathrm{ML}_{2}$ unit. There is a very strong bonding interaction between $b_{2}$ and $1 \pi_{\mathrm{a}}$ in the perpendicular, 26, conformation. This interaction is totally destroyed upon rotation to the in-plane structure, 27.


Therefore, we predict that the most stable conformation should be the perpendicular one, which is in accord with the available structural evidence. ${ }^{25}$ Furthermore, there should be a very high barrier of rotation in these complexes. For $\pi$-allyl- $\mathrm{Ni}\left(\mathrm{PH}_{3}\right)_{2}{ }^{+}$ it is calculated to be $38 \mathrm{kcal} / \mathrm{mol}$. Experimentally, estimation of this barrier is complicated by intermolecular association and collapse to a $\eta^{1}$-allyl complex. ${ }^{26}$ Putting two more electrons into the system will reverse the conformation since now both $b_{2}$ and $1 \pi_{\mathrm{a}}$ are filled. Therefore, $\mathrm{b}_{2}$ must interact with $2 \pi_{\mathrm{s}}$ which is possible only for the in-plane geometry.

For the 16 -electron butadiene- $\mathrm{Fe}(\mathrm{CO})_{2}$ compound $\mathrm{b}_{2}$ is formally empty and has the correct symmetry to interact with the highest filled $\pi$ level, $1 \pi_{\mathrm{a}}$ (see Figure 4), in the perpendicular geometry, 28. Upon rotation to the in-plane orientation, 29, the stabilization of $1 \pi_{a}$ by $b_{2}$ is lost and replaced with a


28
repulsion from the filled $1 b_{1}$ orbital. Again a large barrier (29 $\mathrm{kcal} / \mathrm{mol}$ ) is associated with rotation. Although butadiene$\mathrm{Fe}(\mathrm{CO})_{2}$ has been observed in matrix isolation studies, ${ }^{27}$ there are no details yet on its structure. In the 18 -electron butadiene $-\mathrm{Ni}(\mathrm{CO})_{2}$ complex $\mathrm{b}_{2}$ is now filled and consequently can be stabilized by the lowest unoccupied level, $2 \pi_{\mathrm{s}}$, in the in-plane geometry. There is still repulsion between $1 b_{1}$ and $1 \pi_{a}$. However, if the complex assumes the perpendicular conformation, then the repulsion between $1 \pi_{\mathrm{a}}$ and $\mathrm{b}_{2}$ is much greater (the overlap of $1 \pi_{a}$ is much larger with $b_{2}$ than it is with $1 b_{1}$ ). It is calculated that the in-plane conformer is $69 \mathrm{kcal} / \mathrm{mol}$ more stable than the perpendicular one for butadiene- $\mathrm{Fe}(\mathrm{CO})_{2}{ }^{2-}$. Eighteen-electron butadiene complexes are well-known ${ }^{28 a}$ and one $\mathrm{Ni}\left(\mathrm{R}_{2} \mathrm{PCH}_{2} \mathrm{CH}_{2} \mathrm{PR}_{2}\right)$ derivative indeed has structure 29. ${ }^{28 b}$ There does exist one example of a 17 -electron system, 30. It is a bis(trimethyl phosphite)cobalt- $\eta^{4}$ complex of hex-

akis(trifluoromethyl)benzene. Its structure shows the $\mathrm{CoL}_{2}$ group bonded to a butadiene portion of the benzene in the inplane conformation, as shown in $\mathbf{3 0 .}{ }^{29}$ This conformation is calculated to be $15 \mathrm{kcal} / \mathrm{mol}$ more stable than the perpendicular one for the isoelectronic butadiene- $\mathrm{Fe}(\mathrm{CO})_{2}$ anion.

The situation for 16 - and 18 -electron pentadienyl- $\mathrm{ML}_{2}$ complexes is somewhat complicated, so the orbital interaction diagram for both conformations is presented in Figure 5. This diagram has been simplified considerably and only includes those orbitals from both fragments that set a conformational preference. The occupancy of the orbitals in Figure 5 corre-
sponds to that in an 18 -electron complex. For the in-plane conformation $b_{2}$ interacts strongly with $2 \pi_{\mathrm{s}}$ and $1 b_{1}$ with $1 \pi_{\mathrm{a}}$. The interactions between the alternative combinations for the perpendicular geometry are not as strong, since the interacting orbitals are not in resonance. One interaction with $b_{2}$ is a two electron-two orbital, stabilizing one in both conformations. However, there is a four electron-two orbital repulsive interaction with $1 b_{1}$ which also must be considered. This is again much stronger for the in-plane orientation. Our calculations show that the dominant effect for the 18 -electron pentadi-enyl- $\mathrm{Fe}(\mathrm{CO})_{2}$ anion is the difference between stabilizing interactions. The in-plane geometry was calculated to be 6 $\mathrm{kcal} / \mathrm{mol}$ more stable than the perpendicular one. There exists a series of palladium(II)- $\mathrm{ML}_{2}$ complexes isoelectronic to this compound. ${ }^{30}$ These have a ground-state $\eta^{3}$ geometry, 31, and undergo a 1,3 shift to $\mathbf{3 1}$ ' via an $\eta^{5}$ transition state which must have the in-plane orientation, 32. ${ }^{30,31}$ If two electrons are re-

moved from pentadienyl- $\mathrm{Fe}(\mathrm{CO})_{2}$ anion ( $2 \mathrm{a}^{\prime \prime}$ for the in-plane and $2 \mathrm{a}^{\prime}$ for the perpendicular geometry) then one can see from Figure 5 that the perpendicular conformation should now be the most stable one. The rotational barrier was calculated to be $23 \mathrm{kcal} / \mathrm{mol}$.

The reader will notice that for the 16 -electron acyclic polyene- $\mathrm{ML}_{2}$ complexes it is the interaction of $\mathrm{b}_{2}$ with $1 \pi_{\mathrm{a}}$ (see Figure 4) which can be held responsible for making the perpendicular conformation more stable. On the other hand, it is the interaction of $\mathrm{b}_{2}$ with $2 \pi_{\mathrm{s}}$ for the 18 -electron $\mathrm{ML}_{2}$ complexes which makes the in-plane geometry more favorable.

## Polyene- $\mathrm{ML}_{4}$ Complexes

The two $C_{2 v}$ fragments $\mathrm{Ni}(\mathrm{CO})_{2}$ and $\mathrm{Fe}(\mathrm{CO})_{4}$ carry very similar orbitals. From Figure 1 one may see that each has a high-lying $a_{1}$ and below it a $b_{2}$ orbital which is considerably split in energy from its $\pi$-bonding partner, $b_{1}$. The resemblance of the two fragments has been discussed in detail in our work on olefin rotational barriers. ${ }^{2}$ In the present context it means that by applying a line of reasoning similar to that in the previous sections we conclude that the rotational barriers in the cyclic $\mathrm{C}_{n} \mathrm{H}_{n}-\mathrm{ML}_{4} 33$ should be very small. Those in acyclic polyene-ML4 should be large, with 34 preferred to 35 . The

calculations confirm this; the computed barriers in allyl$\mathrm{Mn}(\mathrm{CO})_{4}$, butadiene $-\mathrm{Cr}(\mathrm{CO})_{4}$, and pentadienyl $-\mathrm{Cr}(\mathrm{CO})_{4}{ }^{+}$ were 51,59 , and $11.5 \mathrm{kcal} / \mathrm{mol}$, respectively. These barriers take into account independent optimization of the angle between equatorial carbonyls, $\alpha$, and slippage of the $\mathrm{ML}_{4}$ unit with respect to the polyene, $r$-see 34 . The origin of the barriers again is to be found in the overlap of $b_{2}$ from the $\mathrm{M}(\mathrm{CO})_{4}$ fragment with $2 \pi_{a}$, the polyene orbital nearest to it in energy.

The discussion up to this point has been overly restrictive as far as the local geometry of the $\mathrm{ML}_{4}$ fragment is concerned. We must worry about the square pyramidal structures 36-38 as well. This we proceed to do.

Let us consider the allyl- and cyclopropenyl- $\mathrm{ML}_{4}$ complexes first, with the aid of the partial interaction diagram 39. This shows how the degeneracy of the $C_{4 v} \mathrm{Mn}(\mathrm{CO})_{4}$ and the


36

37
III
38
III


39
cyclopropenyl orbitals is lifted as the first fragment changes to $\mathrm{C}_{20}$ and the second is (formally) opened to allyl. For cyclopropenyl it appears that interaction with the e set in $C_{4 v}$ $\mathrm{ML}_{4}$ is slightly larger than with $\mathrm{b}_{1}+\mathrm{b}_{2}$ in $C_{2 v}$. We get the square pyramidal geometry approximately $4 \mathrm{kcal} / \mathrm{mol}$ more stable than the trigonal-bipyramidal one. In the allyl system the degeneracy of the e set is lifted and the tremendously strong interaction between $1 \pi_{a}$ and $b_{2}$ dominates.

The situation in the allyl system then is very much like that for ethylene. The preferred geometry is $\mathbf{4 0}$, whose resemblance

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to $\mathrm{Fe}(\mathrm{CO})_{4}$ (ethylene) is best perceived by turning the molecule on its side. Just as in the case of ethylene, the rigid rotation of the allyl group is not the most likely process. Instead there takes place a coupled rotation-pseudorotation, with 41 as the transition state. The calculated activation energy is $6 \mathrm{kcal} /$ mol.
The available structural analogues of this compound show the predicted features, ${ }^{33}$ namely, the most stable geometry being a trigonal bipyramid with the conformation indicated in 40. Although several NMR studies have examined the equilibrium between $\mathbf{4 2}$ and $43,{ }^{34}$ the mechanism of this in-

terconversion is not established. We predict that it will not occur by simple rotation of the allyl group.

Within the square pyramidal geometry we found that rotation from conformation 37 to 38 required $2 \mathrm{kcal} / \mathrm{mol}$ for $\mathrm{Mn}(\mathrm{CO})_{4}$-allyl. The source of the barrier is to be found primarily in the repulsion of $1 \pi_{\mathrm{s}}$ with $a_{2}$ in 38 . There is some support for the notion that 37 is at lower energy than 38 for this system. There exist two structures of $\pi$-allyl- $\mathrm{ML}_{5}$ complexes ${ }^{35}$ which both have the $\pi$-allyl group in the orientation analogous to 37.

As the polyene becomes larger, steric interactions between the carbonyls and the polyene atoms come into play. A square pyramidal geometry is favored. From our calculations this appears to happen for a butadiene or a larger polyene ligand.

Turning to the other polyene- $\mathrm{ML}_{4}$ systems, we predict that these will have square-pyramidal ground-state geometries. The barriers to internal rotation for cyclopropenyl- $\mathrm{Mn}(\mathrm{CO})_{4}$ and cyclopentadienyl $-\mathrm{Cr}(\mathrm{CO})_{4}{ }^{+}$are miniscule, which would have been expected anyway for a 12 - or 20 -fold barrier. Although there do not seem to be any trihapto $\mathrm{C}_{3} \mathrm{H}_{3}-\mathrm{ML}_{4}$ compounds to check our idea, there exist a large number ${ }^{36}$ of structures containing the $\mathrm{Cp} \mathrm{ML}_{4}$ framework. The orientation of the Cp ring with respect to the $\mathrm{ML}_{4}$ unit is variable, which indicates a small barrier. The angles between the trans ligands fall generally into two groups. One set has both trans angles equal to ca. $120^{\circ}$, while the others have one set equal to ca. $135^{\circ}$ and the other ca. $110^{\circ}$. We calculate $\tau$ (defined in 37) to be $123^{\circ}$ for $\mathrm{CpCr}(\mathrm{CO})_{4}{ }^{+}$. Although we have not optimized both of the trans angles independently, we expect that there will be only a small energy difference between this geometry and one with $\alpha \approx 110^{\circ}, \beta \approx 135^{\circ}$, since this is a rather small distortion along the pseudorotation pathway.

Because of the fourfold symmetry in cyclobutadiene, there is expected a rotational barrier for the square-pyramidal cyclobutadiene $-\mathrm{Cr}(\mathrm{CO})_{4}$ molecule, albeit a rather small one. This is a result of the fact that $a_{2}$ can bond, weakly, with the highest unoccupied orbital of the cyclobutadiene ring in the "staggered" conformation. A top view of this is shown in 44.


44


45

However, upon rotation to the "eclipsed" geometry, there is a four electron-t wo orbital repulsion between $a_{2}$ and a highlying $\sigma$ orbital, illustrated in 45 . The secondary interactions between the cyclobutadiene and the carbonyl $\pi^{*}$ contributions (which are not shown in 44 and 45) to $\mathrm{a}_{2}$ accentuate this interaction at large values of $\tau$. The rotational barrier can be sizable at large $\tau$, although a portion of it is of steric origin.

A plot of the computed barrier vs. $\tau$ is given in Figure 6. At smaller values of $\tau$ the eclipsed geometry becomes more stable. This is a result of the fact that the overlap of the e set in $\mathrm{ML}_{4}$ with the cyclobutadiene e set of $\pi$ orbitals is not the same in the two conformations. This is illustrated for one member of the bonding combination in 46 and 47. The overlap in 47 is


46


47
slightly larger between the $\mathrm{ML}_{4}$ and cyclobutadiene fragments than it is in $46-0.368$ vs. 0.353 for $\tau=150^{\circ}$. At this geometry the eclipsed conformation is $1.5 \mathrm{kcal} / \mathrm{mol}$ more stable than the staggered. The difference in overlap comes from the differential carbonyl overlap with the cyclobutadiene orbitals. If all overlap between the carbonyls and cyclobutadiene is set to zero, then the staggered orientation becomes $2 \mathrm{kcal} / \mathrm{mol}$ more stable than the eclipsed, as it should be if the ideas in 44 and 45 are correct. These two effects compete with each other so that for


Figure 6. A plot of the rotational barrier in square-pyramidal cyclobuta-diene- $\mathrm{Cr}(\mathrm{CO})_{4}$ as a function of the trans carbonyl angles. $\tau$. A positive value of the barrier corresponds to the staggered geometry being more stable than the eclipsed.

Table I. Parameters Used in Extended Hückel Caiculations

| orbital |  | $H_{i i}, \mathrm{eV}$ | $S_{1}$ | $\zeta_{2}$ | $C_{1}{ }^{a}$ | $C_{2}{ }^{a}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Cr | 3 d | $-11.22$ | 4.95 | 1.60 | 0.4876 | 0.7205 |
|  | 4 s | -8.66 | 1.70 |  |  |  |
|  | 4 p | $-5.24$ | 1.70 |  |  |  |
| Mn | 3d | $-11.59$ | 5.15 | 1.90 | 0.5320 | 0.6490 |
|  | 4s | $-8.63$ | 1.80 |  |  |  |
|  | 4 p | $-5.06$ | 1.80 |  |  |  |
| Fe | 3d | $-12.70$ | 5.35 | 1.80 | 0.5366 | 0.6678 |
|  | 4s | $-9.17$ | 1.90 |  |  |  |
|  | 4 p | -5.37 | 1.90 |  |  |  |
| Ni | 3d | $-12.99$ | 5.75 | 2.00 | 0.5682 | 0.6292 |
|  | 4s | -8.86 | 2.10 |  |  |  |
|  | 4p | -4.90 | 2.10 |  |  |  |
| B | 2 s | $-15.20$ | 1.30 |  |  |  |
|  | 2p | $-8.20$ | 1.30 |  |  |  |
| C | 2 s | $-21.40$ | 1.625 |  |  |  |
|  | 2p | $-11.40$ | 1.625 |  |  |  |
| $N$ | 2s | $-26.00$ | 1.95 |  |  |  |
|  | 2p | $-13.40$ | 1.95 |  |  |  |
| 0 | 2s | $-32.30$ | 2.275 |  |  |  |
|  | 2p | $-14.80$ | 2.275 |  |  |  |
| F | 2s | $-40.00$ | 2.425 |  |  |  |
|  | 2p | $-18.10$ | 2.425 |  |  |  |
| H | 1 s | $-13.60$ | 1.30 |  |  |  |

${ }^{a}$ Coefficients in double $\zeta$ expansion.
reasonable $\tau$ values in cyclobutadiene $-\mathrm{Cr}(\mathrm{CO})_{4}$ there is only a small barrier. At very large and small values of $\tau$ the overlap differential in the e sets diminishes, which gives rise, along with steric effects, to the peculiar dependence of the rotational barrier on $\tau$ seen in Figure 6.

Optimization of the two trans carbonyl angles independently for both conformations leads to the eclipsed orientation being $2 \mathrm{kcal} / \mathrm{mol}$ more stable than the staggered. The two trans angles in the eclipsed geometry were $134^{\circ}$ while in the staggered one was $142^{\circ}$ and the other $126^{\circ}$. X-ray structures of cyclobutadiene- $\mathrm{Mo}(\mathrm{CO})_{3}\left(\mathrm{PPh}_{3}\right)$ and benzocyclobuta-diene- $\mathrm{Cr}(\mathrm{CO})_{3}\left(\mathrm{PPh}_{3}\right)^{37}$ show a variable conformation with one trans carbonyl angle $141-150^{\circ}$ and the other trans angle $111-112^{\circ}$. It is likely that the steric bulk of the triphenylphosphine ligand complicates the issue, since our calculations give cyclobutadiene $-\mathrm{Cr}(\mathrm{CO})_{4}$ at this experimental geometry ( $\tau=145,110^{\circ}$ ) only $4 \mathrm{kcal} / \mathrm{mol}$ higher in energy than the optimized geometry given above. The rotational barrier for rigid rotation is minute at this portion of the potential sur-
face- $0.1 \mathrm{kcal} / \mathrm{mol}$, which is consistent with variable conformations reported by Davis and Oliver. ${ }^{37}$

It should be noted that there exists a structure for an interesting $\eta^{4}$-naphthalene- $\mathrm{Ta}_{5}$ complex which has the geometry given in $48 .{ }^{38}$ The overlap of $2 \pi_{\mathrm{a}}$ (see Figure 4) for the buta-


48
diene portion in $\mathbf{4 8}$ with $\mathrm{a}_{2}$ of the $\mathrm{ML}_{5}$ unit is maximized. Upon rotation this interaction is lost. Therefore, the source of this barrier, which has been experimentally set at approximately $15 \mathrm{kcal} / \mathrm{mol}, 38$ is identical with that presented for cyclobuta-diene- $\mathrm{Cr}(\mathrm{CO})_{4}$ at large values of $\tau$.

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## Appendix

The calculations were carried out with the extended Hückel method. ${ }^{39}$ The $H_{i i}$ 's for $\mathrm{Cr}, \mathrm{Fe}$, and Mn were taken from previous work, ' while those for Ni were obtained from a charge iterative calculation on ethylene $-\mathrm{Ni}(\mathrm{CO})_{2} .{ }^{2}$ The metal orbital exponents for the 3 d functions are those given by Richardson, Basch et al. ${ }^{40}$ Those for the 4 s and 4 p functions were taken from previous work. ${ }^{41}$ The parameters are listed in Table I. A modified Wolfsberg-Helmholz formula was used. ${ }^{42}$ The following idealized bond distances were employed: $\mathrm{C}-\mathrm{H}, 1.09$; $\mathrm{C}-\mathrm{O}, 1.14$ : $\mathrm{C}-\mathrm{C}, 1.41 \AA$. All C-C-C, C-C-H (for the acyclic polyenes), and M-C-O angles were set at 120,120 , and $180^{\circ}$, respectively. The $\mathrm{M}-\mathrm{C}(\mathrm{O})$ distances were set at $\mathrm{Cr}, 1.84 ; \mathrm{Fe}$, 1.78; Mn, $1.80 ; \mathrm{Ni}, 1.82 \AA$. The metal to polyene ring distances were fixed at allyl, 1.72; butadiene, 1.64; pentadienyl, 1.69; cyclopropenyl, 2.04; cyclobutadiene, 1.78; cyclopentadienyl, 1.69; benzene, $1.73 \AA$. The values for $r$ and $\xi$ in 24 were optimized to be for allyl, $0.65 \AA, 93^{\circ}$; butadiene, $0.74 \AA, 100^{\circ}$; pentadienyl, $1.18 \AA, 90^{\circ}$ (assumed).

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# $\mathrm{M}_{2} \mathrm{~L}_{9}$ Complexes 

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#### Abstract

The electronic and geometrical structure of confacial bioctahedral complexes of the type $\mathrm{L}_{3} \mathrm{MX}_{3} \mathrm{ML}_{3}$ is studied, for a variety of terminal ligands and hydride. halide. and carbonyl as representative bridging ligands. The factors which determine the dimer geometry in general and the metal-metal separation in particular are the geometrical preference of the $\mathrm{L}_{3} \mathrm{MX}_{3}$ monomer fragment. the symmetry-conditioned opportunities for interaction offered up by the orbitals of the bridging group (very different for $\mathrm{H}^{-}, \mathrm{Cl}^{-}$. or CO ), and direct metal-metal bonding. There are cases in this class of triply bridged complexes where metal-metal bonding is determinative, but they are a minority. Several cases point up the fact that in bridged complexes neither a short metal-metal distance by itself nor electron counting conventions are a good guide to the presence or absence of substantial direct metal-metal interaction.


A convenient but not unique theoretical approach to bridged metal dimer (and cluster) complexes is to view the following three factors as determining the dimer molecular geometry and electronic structure: (1) the geometrical preference of the monomer fragment; (2) the symmetry-conditioned opportunity for interaction offered up by the orbitals of the bridging groups; (3) direct bonding or antibonding overlap of primarily metal-centered orbitals. The mix of these contributions is variable. In many cases, especially those in which compounds are compared which differ from each other only by one or two electrons, the last effect is important. This is clearly seen in the elegant body of work of Dahl and collaborators. ${ }^{1}$ In other cases all three factors may enter, and direct metal-metal bonding may be relatively unimportant. In three previous papers we have implemented the protocol of analysis specified above, in a study of the superexchange problem in weakly coupled $\mathrm{d}^{9}$ dimers, ${ }^{2}$ in a detailed investigation of alternative geometries of $\mathrm{M}_{2} \mathrm{~L}_{6}$ complexes, ${ }^{3}$ and in a discussion of triple-decker sandwiches. ${ }^{4}$ This contribution
deals with metal dimers bridged by three ligands, the $\mathrm{M}_{2} \mathrm{~L}_{9}$ class of compounds. A number of these face-shared bioctahedra have been analyzed in terms of structural distortions and the 18 -electron rule by Cotton and Ucko. ${ }^{5}$ We will expand on their important study using qualitative molecular orbital (MO) arguments and extended Hückel calculations.

The method of Cotton and Ucko was based on the important insight that in bridged metal dimers a number of structural variables are interdependent. In complex 1 it takes one dis-



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